

Peculiarities in the concentration dependence of the superconducting transition temperature in the bipolaron theory of Cooper pairs

Victor Lakhno

Institute of Mathematical Problems of Biology RAS,
The Branch of Keldysh Institute of Applied Mathematics
of Russian Academy of Sciences,
Vitkevicha Str. 1, 142290, Pushchino, Moscow Region, Russia
lak@impb.psn.ru

Received 1 February 2017 Accepted 28 February 2017 Published 13 April 2017

It is shown that the bipolaron theory of Cooper pairs suggests that there is a possibility for a superconducting phase to exist at low and high levels of doping and be absent at the intermediate level of doping. The results obtained possibly to imply the universal character of 1/8 anomaly.

Keywords: Underdoped superconductors; Fermi energy; electron phonon interaction; polaron.

The results of Ref. 1, where a Cooper pair was demonstrated to be nothing but a bipolaron, actualize the problem of a bipolaron in a polaron gas. Consideration was given there to a problem of electron–phonon interaction (EPI) between two electrons in Cooper's formulation² when the Fermi energy exceeds the EPI one: $E_F > |E_{\rm pol}|$, where $E_{\rm pol}$ is a polaron energy. In high-temperature superconductors (HTSC), however, of importance is the case of $E_F < |E_{\rm pol}|$ (HTSC with low level of doping). We will show that these two cases lead to two qualitatively different pictures.

(a) The case of $E_F > |E_{pol}|$

Let us consider the limit case when $E_F\gg |E_{\rm pol}|$ and above the Fermi surface there is one electron taking part in EPI. In view of the Pauli principle, the interaction of this electron with the electron occurring below the Fermi surface can be neglected. Hence, in this case, we have the polaron problem for an electron occurring near the Fermi surface. Because of EPI, the energy of this electron should be below the Fermi surface at the depth of $E_{\rm pol}$. But the same will be valid for all the electrons occurring

at the Fermi level: owing to EPI, their energy will be decreased by $E_{\rm pol}$. Hence, if we denote the Fermi energy in the absence of EPI by E_F^0 , then in the presence of EPI, the renormalized value of the Fermi energy will be $E_F = E_F^0 + E_{\rm pol}$. The masses of electrons whose energies occur near E_F will also undergo a relevant polaron renormalization. Therefore, in the energy layer $(E_F + E_{\rm pol}, E_F)$ we will have a polaron gas.

Let us consider the case of two electrons above the Fermi surface. From the aforesaid, it follows that now the Fermi surface is determined by E_F rather than by E_F^0 . Now, the presence of EPI cannot decrease the energy of either of the two electrons by the value of $E_{\rm pol}$ since in view of EPI, the energy of the electrons occuring on the Fermi surface is already decreased by this value. If the two electrons form a paired state, the energy of the state will be below the Fermi surface E_F at the depth of $E_{\rm bp}$, where $E_{\rm bp}$ is the bipolaron energy for any value of the EPI constant α . This result is in complete agreement with Cooper's conclusion² about instability of the Fermi surface with respect to formation of pairs for arbitrarily small values of α which makes the polaron theory in metals qualitatively different from that in polar dielectrics. Accordingly, the value of the gap near the Fermi surface will not be $|E_{\rm bp}-2E_{\rm pol}|$ as it resumes there but $|E_{\rm bp}|$. Hence, only the electrons whose energies occur in the $(E_F + E_{\text{pol}}, E_F)$ layer take part in the formation of bipolaron paired states, which differentiates the bipolaron theory of superconductivity³ from the BCS theory⁴ which implies that for T=0 all the electrons are in the paired state.

(b) The case of $E_F < |E_{\text{pol}}|$

In this case, we cannot neglect the interaction between the excess electrons and the electrons below the Fermi surface. If, as in the previous case, we believe that the electrons below the Fermi surface are polarons, then the Cooper problem will correspond to the problem of a bipolaron in a polaron gas. As a bipolaron is placed in a polaron gas, an additional energy difference arises in view of the fact that a bipolaron is a Bose particle while a polaron is a Fermi particle.

Hence, if we take $\Delta E = E_{\rm bp} - 2E_{\rm pol}$ (corresponding to the energy gain for $\Delta E < 0$ or energy failure for $\Delta E > 0$ in the absence of a polaron gas) as a reference point of energy in the absence of polaron gas, then in a polaron gas $E_{\rm pol}$ should include the additional terms $E_F = p_F^2/2m_{\rm pol}$ ($p_F = (3\pi^2)^{1/3}\hbar n^{1/3}$ is the Fermi momentum, n is the concentration of current carriers) and $E_{\rm exch}$, where $E_{\rm exch} = -e^2p_F/\pi\hbar\epsilon$ is the exchange energy in the Hartree–Fock approximation,⁵ $m_{\rm pol}$ is the polaron mass, ϵ is the dielectric permittivity. As a result, the bipolaron stability criterion takes on the form^{6,7}: $\Delta E < 2E_F + 2E_{\rm exch}$.

This implies that on condition $(m_{\text{pol}}e^2/\pi\hbar\epsilon)^2 > -m_{\text{pol}}\Delta E > 0$, the bipolarons are stable in two regions $(0, p_{F1})$ and (p_{F2}, ∞) , $p_{F1,2} = m_{\text{pol}}e^2/\pi\hbar\epsilon \pm \sqrt{(m_{\text{pol}}e^2/\pi\hbar\epsilon)^2 + m_{\text{pol}}\Delta E}$, where p_{F1} corresponds to the sign (–) and p_{F2} to the sign (+). The region $(0, p_{F1})$ corresponds to small concentration of current carriers

(low doping) while the region (p_{F2}, ∞) corresponds to large concentration (high doping). If we believe that the presence of bipolarons at T=0 immediately leads to superconductivity, then the existence of two different regions of bipolaron stability will correspond to the existence of two different regions of superconductivity occurrence.

If the converse condition $(m_{\rm pol}e^2/\pi\hbar\epsilon)^2 < -m_{\rm pol}\Delta E < 0$ is fulfilled, the bipolarons are stable at any level of doping. In this case, the concentration dependence of the critical temperature of the superconducting transition T_C will have a local minimum.

This dependence is actually realized in superconductors $\text{La}_{2-x}\text{M}_x\text{CuO}_4$, M=(Sr,Ba), where the high-temperature superconductivity was observed for the first time. For example, in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ the optimal level of doping is equal to $x\approx 0,16$. As x decreases, T_C is lowered too. This behavior remains unchanged up to $x\approx 1/8$, when T_C reaches its minimum. As x further decreases, T_C grows achieving some maximum and then decreases vanishing at small x. In $\text{La}_{2-x}\text{Ba}_x\text{CuO}_4$ this behavior is still more pronounced: there exists a sharp dip in the T_c-x phase diagram, indicating that bulk superconductivity is greatly suppressed in narrow range around x=1/8.

The emergence of minimum in the concentration dependence is known as 1/8 anomaly which probably has universal character being observed in other HTSC materials.^{8–11}

Acknowledgments

The work was supported by RFBR No. 16-07-00305 and RSF No. 16-11-10163.

References

- 1. V. D. Lakhno, Mod. Phys. Lett. B 30 (2016) 1650365.
- 2. L. N. Cooper, Phys. Rev. **104** (1956) 1189.
- V. D. Lakhno, SpringerPlus 5 (2016) 1277; V. D. Lakhno, arXiv:1510.04527 [cond-mat.supr-con].
- 4. J. Bardeen, L. N. Cooper and J. R. Schrieffer, Phys. Rev. 108 (1957) 1175.
- N. W. Ashcroft and N. D. Mermin, Solid State Physics (Holt, Rinehart and Winston, New York), 1976).
- 6. A. A. Shanenko et al., Solid State Commun. 98 (1996) 1091.
- 7. M. A. Smondyrev et al., Phys. Rev. B 63 (2000) 024302.
- 8. A. R. Moodenbaugh et al., Phys. Rev. B 38 (1988) 4596.
- 9. S. A. Kivelson et al., Rev. Mod. Phys. **75** (2003) 1201.
- 10. M. Vojta, Adv. Phys. **58** (2009) 699.
- M. Hücker et al., Phys. Rev. B 83 (2011) 104506.